

Security Management System User Guide  
**Oracle Banking Trade Finance Process  
Management**

Release 14.4.0.0.0

**Part No. F30794-01**

May 2020

Oracle Banking Security Management System User Guide  
Oracle Banking Trade Finance Process Management  
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# Contents

- Welcome to Security Management System . . . . .4**
- Role..... 4
- View Role ..... 4
- Create Role ..... 4
- User..... 5
- View User ..... 5
- Create User ..... 6
- Functional Activity ..... 7
- Reference and Feedback . . . . .10**
- References..... 10
- Documentation Accessibility ..... 10
- Feedback and Support..... 10

# Welcome to Security Management System

This user guide provides an overview to the module and takes you through the various steps involved setting up and using the security features that Oracle offers.

This document is intended for Oracle Implementers, SMS Administrator for the Bank, SMS Administrator for the Branch, and an Oracle user.

This section contains the following topics:

<a href="#">Role</a>	<a href="#">User</a>
<a href="#">Functional Activity</a>	

## Role

It is likely that users working in the same department at the same level of hierarchy need to have similar user profiles. In such cases, you can define a Role Profile that includes access rights to the functional activities that are common to a group of users. A user can be linked to a Role Profile by which you give the user access rights to all the functional activities in the Role Profile.

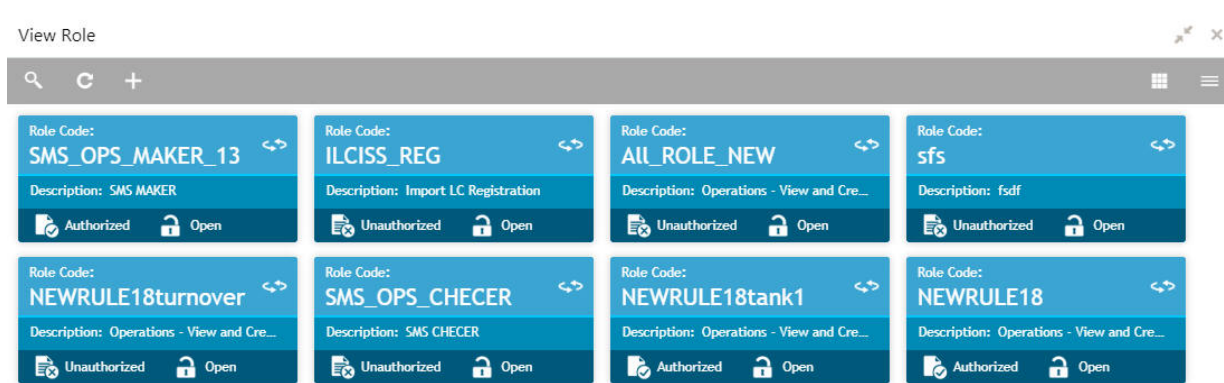
The roles defined is effective only after the dual authorization.

### View Role

The summary screen provides a list of configured roles. You can configure a role using the [Create Role](#).

#### How to reach here:

**Security Management > Role > View Role**



Field	Description
Role Code	Displays the code of the role.
Description	Displays additional details about the role.
Status	Displays the status of the role.

### Create Role

The maintenance screen allows you to create roles and assign their activities.

## How to reach here:

**Security Management > Role > Create Role**

The screenshot shows the 'Create Role' form. At the top, there is a title bar with the text 'Create Role' and a 'New' button. Below the title bar, there are two input fields: 'Role Code' and 'Description', both marked with an asterisk to indicate they are required. Below these fields is a section titled 'Role Activity'. This section contains a table with two columns: 'Functional Activity Code' and 'Functional Activity Description'. The table is currently empty, and the text 'No data to display.' is shown below the table. At the bottom of the table, there is a pagination bar that reads 'Page 1 (0 of 0 items)'. To the right of the table, there are two buttons: a plus sign (+) and a minus sign (-). At the bottom right of the form, there are two buttons: 'Save' and 'Cancel'.

## How to create a role:

1. In the **Create Role** screen, provide the required details:
  - Role Code: Enter a code for the role.
  - Role Description: Enter additional information about the role.

### Role Activity

2. Click + to add a functional activity code and select the required functional activities to which the role profile must have access. For more information on functional activity, see [Functional Activity](#).
3. Click **Save**. You can view the configured roles in the [View Role](#).

## User

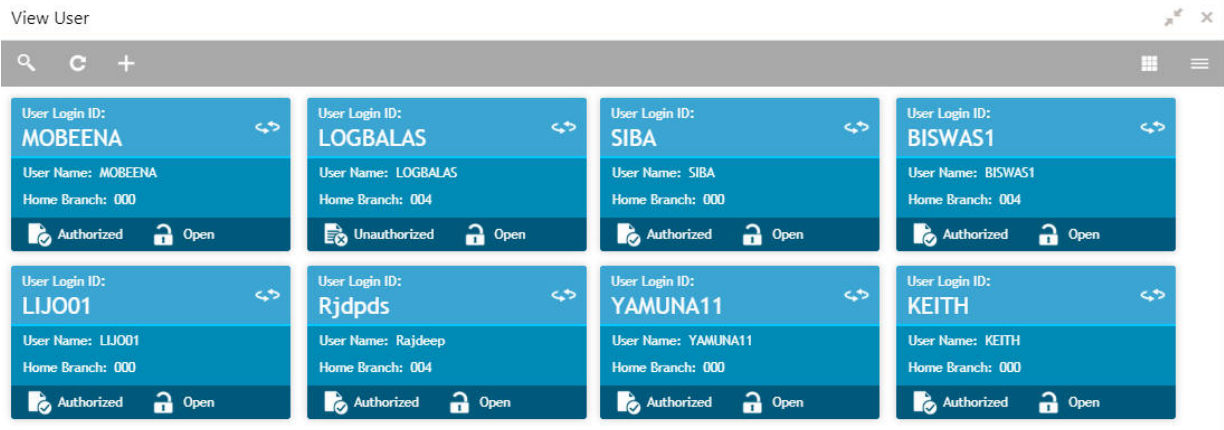
Controlled access to the system is a basic parameter that determines the robustness of the security in banking software. Only authorized users can access the system with the help of a unique User Login ID and password. The user profile of a user contains the details of the user in four sections - User details, Status, Other details and User role branches.

### View User

The summary screen provides a list of configured users. You can configure a user using the [Create User](#).

## How to reach here:

**Security Management > User > View User**



Field	Description
User Login ID	Displays the user login ID details.
User Name	Displays the user who has created the record.
Home Branch	Displays the details of the home branch associated with the user.
Status	Displays the status of the record.

## Create User

The maintenance screen allows you to create a user.

### How to reach here:

**Security Management > User > Create User**

The 'Create User' form includes the following sections and fields:

- User Details:** Username, Login ID, Home Branch.
- Status:** User Status (Enable), Status Changed On, Is Supervisor, Manager ID, Start Date, End Date.
- Other Details:** Access to PII, Email ID, Telephone Number, Home Phone Number, Mobile Number, Fax, Theme, Language Code.
- User Role Branches:** A table with columns for Branch Code, Role Code, and Role Description. Currently, it shows 'No data to display'.
- User Applications:** A table with columns for Application Description. Currently, it shows 'No data to display'.

## How to create a user:

1. In the **Create User** screen, provide the required details:

### User Details

- Username: Enter a user name.
- Login ID: Enter a login ID with which a user logs into the system. This login ID is unique across all branches. The minimum length of login ID must be six and the maximum number can be 12 characters.
- Home Branch: Click **Search** to view and select the required home branch.

### Status

- User Status: Select a user status from the dropdown list.
- Status Changed On: Select a status change date from the dropdown calendar.
- Is Supervisor: By default, this option is disabled. If selected, indicates the user is a supervisor.
- Manager ID: Click **Search** to view and select the required manager ID.
- Start Date: Select a start date from which the user is valid from the dropdown calendar.
- End Date: Select an end date for the user from the dropdown calendar.

### Other Details

- Access to PII: By default, this option is disabled. If enabled, it provides the user access to personally identifiable information of the entity that they are accessing.
- Email ID: Enter the user Email ID at the time of the creation. All system generated password is communicated to the user through this mail ID.
- Telephone Number: Enter the user contact number.
- Home Phone Number: Enter the user's home contact number.
- Mobile Number: Enter the user's mobile number.
- Fax: Enter the fax details of the user.
- Theme: Enter the theme details.
- Language Code: Click **Search** to view and select the required language code.

### User Role Branches

2. Click + to add a row and provide the required details in the columns:

- Branch Code: Click **Search** to view and select the required branch code.
- Role Code: Click **Search** to view and select the required role code.
- Role Description: Based on the selected role code, additional information about the role appears.

### User Applications

3. Click + to add a row and provide the required details in the columns:

- Application Name: Click **Search** to view and select the required application.
- Application Description: Based on the selected application, additional information about the application appears.

4. Click **Save**. You can view the configured users in the [View User](#).

## Functional Activity

SMS manages the user access by associating various functional activities to a role. Based on the business use cases, the granular level activities / operations are defined at Functional activity.

Following are the SMS related functional activities which must be mapped to a Role for Menu, Dashboard, User maintenance and Role maintenance related access:

Functional Activity	Description
SMS_FA_LOAN_DASHBOARD_PREFERENCE	Functional activity for reading User Dashboard preference.
SMS_FA_LOAN_DASHBOARD_PREFERENCE_PUT	Functional activity for updating User Dashboard preference.
SMS_FA_LOAN_DASHBOARD_VIEW	Functional activity for reading User Dashboard tiles.
SMS_FA_MENU_DASHBOARD_VIEW	Functional activity for constructing menu.
SMS_FA_ROLE_AMEND	Functional activity for modifying a role record.
SMS_FA_ROLE_AUTHORIZE	Functional activity for authorizing a role record including Authority query and View changes.
SMS_FA_ROLE_CLOSE	Functional activity for closing a role record.
SMS_FA_ROLE_REOPEN	Functional activity for reopening a role record.
SMS_FA_ROLE_VIEW	Functional activity for viewing a role record including role LOV validation.
SMS_FA_ROLE_DELETE	Functional activity for deleting a role record.
SMS_FA_ROLE_NEW	Functional activity for creating a role record.
SMS_FA_USER_AMEND	Functional activity for modifying a user record.
SMS_FA_USER_AUTHORIZE	Functional activity for authorizing a user record including Authority query and View changes.
SMS_FA_USER_CLOSE	Functional activity for closing a user record.
SMS_FA_USER_DELETE	Functional activity for deleting a user record.
SMS_FA_USER_NEW	Functional activity for creating a user record.
SMS_FA_USER_REOPEN	Functional activity for reopening a user record.
SMS_FA_USER_VIEW	Functional activity for viewing a user record including user LOV validation.



## F

Functional Activity .....	7
SMS_FA_LOAN_DASHBOARD_PREFERENCE	8
SMS_FA_LOAN_DASHBOARD_PREFER-	
ENCE_PUT .....	8
SMS_FA_LOAN_DASHBOARD_VIEW .....	8
SMS_FA_MENU_DASHBOARD_VIEW .....	8
SMS_FA_ROLE_AMEND .....	8
SMS_FA_ROLE_AUTHORIZE .....	8
SMS_FA_ROLE_CLOSE .....	8
SMS_FA_ROLE_DELETE .....	8
SMS_FA_ROLE_NEW .....	8
SMS_FA_ROLE_REOPEN .....	8
SMS_FA_ROLE_VIEW .....	8
SMS_FA_USER_AMEND .....	8
SMS_FA_USER_AUTHORIZE .....	8
SMS_FA_USER_CLOSE .....	8
SMS_FA_USER_DELETE .....	8
SMS_FA_USER_NEW .....	8
SMS_FA_USER_REOPEN .....	8
SMS_FA_USER_VIEW .....	8

## R

Role .....	4
Role Maintenance .....	4

## S

Security Management System .....	4
----------------------------------	---

## U

User .....	5
User Maintenance .....	6

### References

For more information on any related features, you can refer to the following documents:

- Oracle Banking Getting Started User Guide
- Oracle Banking Common Core User Guide

### Documentation Accessibility

For information about Oracle's commitment to accessibility, visit the Oracle Accessibility Program website at <http://www.oracle.com/us/corporate/accessibility/index.html>.

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